

# Sentence Extraction by Spreading Activation with Refined Similarity Measure

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## Abstract

Although there has been a great deal of research on automatic summarization, most methods are based on statistical approach, disregarding relationships between extracted textual segments. To ensure sentence connectivity, we propose a novel method to extract a set of comprehensible sentences that centers on several key points. This method generates a similarity network from documents with a lexical dictionary, and applies spreading activation to rank sentences. Also, we show evaluation results of a multi-document summarization system based on the method, participating in a competition of summarization, TSC (Text Summarization Challenge) task organized by the third NTCIR (NII-NACSIS Test Collection for IR Systems) project.

## Introduction

Information pollution driven by computerized documents leads to a problem of how to reduce the tedious burden of reading them. Automatic text summarization is one solution to the problem, providing users with a condensed version of an original text.

There are two major types of summaries (or extracts), *a reading material* and *a run of items*. A summary shown by a run of items consists of a set of clausal sentences or phrases. When readers are content with itemization of essential parts, we should generate a summary to give widely and shallowly a panoramic view of an original text. Since such clausal sentences or phrases give a fragmentary information, we should do all kinds of things (e.g., clustering and ordering items) to present relationships between clausal textual units.

On the other hand, a summary as a reading material is not only a collection of major points but a well-formed text. When readers expect this kind of summary, we should provide an easy-to-read summary. If the summary is not well-organized, they may find it very hard to read, and, at the worst case, lose their interests in the original documents. However, it is very difficult for computers to work on the text to improve the wording and generate a well-organized text. For that reason, we often keep the original sentences to minimum revision.

Aiming at generating a summary as such a reading material, we have developed a novel method to extract a set of comprehensible sentences that centers on several key points. It features a similarity network generated from a document or documents with a lexical dictionary and spreading activation through the similarity network to rank sentences.

## Summarization towards comprehensible text

There has been a great deal of research on automatic summarization. The basic idea of extraction is to find characteristic sentences by statistical methods such as term frequency (Luhn 1958; Salton 1989), cue phrase (Edmundson 1969), title (Edmundson 1969), or sentence location (Edmundson 1969).

However, extraction by statistical methods disregards relationships between extracted textual units (i.e. terms, sentences or passages). We often get an incomprehensible summary by gathering together textual units recommended by statistical methods. To improve sentence connectivity, some methods are proposed.

Mani, et. al. (Mani & Bloedorn 1999) propose a summarizing method based on a graph representation of related documents. By exploiting meaningful relations between units based on an analysis of text cohesion and context, it finds topic-related text regions using spreading activation, filters activated regions by segment finding, and extracts textual fragments instead of sentences. This method requires quite a deep analysis of an original text.

Nagao, et. al. (Nagao & Hasida 1998) also propose a similar approach. However, their approach is unique in that introducing GDA (Global Document Annotation). Through the use of intra-document network in which nodes correspond to terms and links the semantic relations, which is defined naturally by a GDA tagged document, spreading activation is performed in the network. It generates summary sentences directly from the semantic network, adding highly activated elements into an outputting summary. It may be one effective method if GDA-tagged documents are given.

Salton, et. al. (Salton *et al.* 1997) suggest a passage extraction from a document based on *intra-document* links between passages. It generates the intra-document links from similarity of passage vectors. Once a similarity network is generated, it decides important passages by judging from the

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*bushiness*<sup>1</sup> of a node (passage), depth-first path, and segmented bushy path in the network.

Fukumoto (Fukumoto 1997) proposes a method to choose firstly sentences that contain a query term of user input and those which have a strong similarity to the previously selected sentences. As it decides to extract a sentence by comparing similarity one-by-one, it does not consider the whole network topology of sentence similarity. A reader has to give a query term to determine a point (sentences) where extraction process starts. When the reader does not have an adequate knowledge of the source documents, he or she may miss important sentences that have no connection with the query, or be at a loss for the query. As is the case with (Salton *et al.* 1997), it uses simple vector cosine distance for measuring sentence similarity, and neglects synonym relations.

## Proposed Method

Against the background of these research, we propose a novel extraction method that ranks sentences by spreading activation with the assumption that “*Sentences which are relevant to ones of significance are also significant*” It produces a comprehensive summary even when a reader requires a short summary. Our method differs from some research such as (Mani & Bloedorn 1999; Nagao & Hasida 1998) in that ours ranks sentences directly by spreading activation through sentence similarity, and does not need a deep analysis of original text. Our method is also different from (Salton *et al.* 1997; Fukumoto 1997), introducing refined similarity measure of sentences.

### Sentence Similarity

Sentence extraction by spreading activation, as we detail later, requires similarity of sentences. Sentence similarity can be calculated from lexical relations between terms appearing in a sentence and others. When we estimate similarity of sentences, we have to consider three problems, *how to estimate similarities of terms, how to identify the meaning of terms and how to calculate sentence similarity from them.*

**Estimation of term similarity** For estimating similarity of terms, we use a Japanese lexical dictionary, *Nihongo Goi Taikei*<sup>2</sup> to take synonym or other relations into consideration. Examining the semantic tree carefully, we notice that the number of terms that exist along the path from one term to another increases in proportion to exponent of the path length. In other words, relationship between two terms is inversely exponential of the path length since the number of terms on the path increases exponentially. Hence, we should

<sup>1</sup>The bushiness of a node on a graph is defined as the number of links connecting it to other nodes on the graph.

<sup>2</sup>NTT Communication Science Laboratories, Iwanami Shoten. *Nihongo Goi Taikei* consists of three sub dictionaries, “lexical system”, “word system”, and “syntactical system”. The “noun lexical system” maps nouns into a tree structure, which consists of 2,710 nodes that represent semantic attributes. Because the tree has the property that a node connotes semantic attributes of descendant nodes, we can estimate similarity of terms by distance between terms on the semantic tree.

define similarity of two terms,  $t_i$  and  $t_j$ , by exponential function,

$$\text{sim}(t_i, t_j) = \gamma^{\text{distance}(t_i, t_j)}, \quad (1)$$

where  $\text{distance}(t_i, t_j)$  is the path length between the terms, and an attenuation factor  $\gamma$  ranges  $0 < \gamma < 1$ . We determine  $\gamma$  to be 0.5 vaguely as similarity of two terms belonging to the same semantic attribute will be 0.5 since they do not always have a synonymous relation.

When  $t_i$  and  $t_j$  are identical, we define distance to be 0, and  $\text{sim}(t_i, t_i)$  will be 1 consequently. In case where  $t_i$  and  $t_j$  are not identical, introducing  $a_i$  and  $a_j$  to represent attributes to which term  $t_i$  and  $t_j$  belong respectively, we define distance as follow.

$$\text{distance}(t_i, t_j) = \begin{cases} \text{length}_p(a_i, a_j) + 1 & (\text{length} < 4) \\ \infty & (\text{length} \geq 4) \end{cases} \quad (2)$$

where  $\text{length}_p(a_i, a_j)$  is the path length between nodes  $\#a_i$  and  $\#a_j$  on the semantic tree. In case either  $t_i$  or  $t_j$  has no entry in the dictionary, distance is defined as  $\infty$ .

**Sense disambiguation of terms** Although a human can determine correctly and immediately the meaning of a term which has a number of meanings in the context of a text, computers do not have such an ability. We can not calculate similarity of terms without identifying the meanings. We formulate the word-sense disambiguation problem as follows.

We define  $\mathbf{T} = (t_1, t_2, \dots, t_n)$  as a noun term which appears in a document. We introduce  $\mathbf{A}_i$  to enumerate possible semantic attributes of term  $t_i$ , consulting the dictionary, *Nihongo Goi Taikei*. For example, for a word ‘system’, five attributes #362 (organization), #962 (machine), #1155 (institution), #2498 (structure), #2595 (unit) are found,

$$t_1 = \text{‘system’}, \mathbf{A}_1 = \{362, 962, 1155, 2498, 2595\} \quad (3)$$

When  $t_i$  has no entry in the dictionary (i.e. unidentified terms), we leave  $\mathbf{A}_i$  empty.

Then, we choose a combination of  $a_i \in \mathbf{A}_i$  (i.e. choose  $a_1$  from  $\mathbf{A}_1$ ,  $a_2$  from  $\mathbf{A}_2$ , ..., and  $a_n$  from  $\mathbf{A}_n$ ) that maximizes the following *score*,

$$\text{score} = \sum_{i=1}^n \sum_{j=i+1}^n \min\{4 - \text{distance}(a_i, a_j), 0\}, \quad (4)$$

where  $\text{distance}(a_i, a_j)$  is the same as in formula (2). Through the optimization, in other words, we determine an attribute of each term adopting lexical cohesion as context of original articles (Okumura & Honda 1994).

**Calculation of sentence similarity** For all pairs of sentences, we calculate similarity of sentences by the following formula,

$$\text{Sim}(S_i, S_j) = \sum_{t_i \in S_i} \sum_{t_j \in S_j} \frac{\text{sim}(t_i, t_j)}{\sqrt{|S_i||S_j|}}, \quad (5)$$

where  $|S_i|$ ,  $|S_j|$  are the numbers of indexing terms in sentence  $S_i$ ,  $S_j$  respectively. This formula counts up all the possible lexical relations in inter-sentences and normalize the sum by geometrical mean to satisfy similarity of the same sentences to be 1.

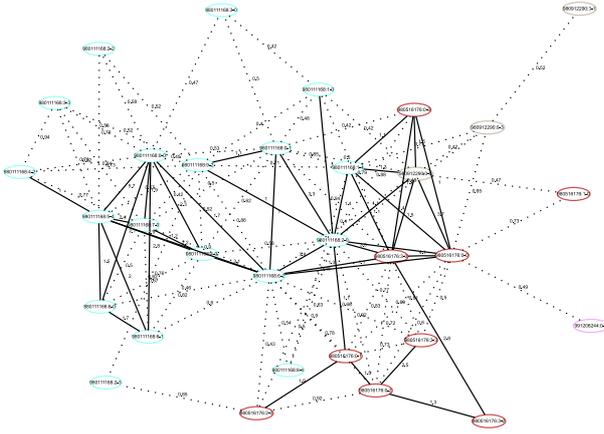


Figure 1: Similarity network of sentences.

### Sentence extraction by spreading activation

Finally, we rank sentences by spreading activation (Collins & Loftus 1975) with the assumption that “Sentences which are relevant to ones of significance are also significant.”

First, we link a pair of sentences  $S_i$  and  $S_j$  if  $\text{Sim}(S_i, S_j) > 0$  to make a network graph, which indicates similarity relationship of sentences. Figure 1 is an example of similarity network of sentences. A node represents a sentence<sup>3</sup>, and an edge with a value shows the similarity of sentences.

Then, we continue spreading activation by the following formula.

$$\mathbf{A}^{(k)} = \alpha \mathbf{I} + (1 - \alpha) \mathbf{R} \cdot \mathbf{A}^{(k-1)} \quad (6)$$

$\mathbf{A}^{(k)}$  is a  $n$ -vector whose element is an activation after  $k$  steps,  $\mathbf{I}$  is a  $n$ -identity matrix,  $\mathbf{R}$  is a spreading matrix ( $n \times n$ ) which shows similarity.  $\mathbf{R}_{ij}$  (an element of  $\mathbf{R}$ ) represent strength of similarity between sentences  $S_i$  and  $S_j$ :

$$\mathbf{R}_{ij} = \begin{cases} \frac{\text{sim}(S_i, S_j)}{\text{the number of links of } S_j} & (\text{if } i \neq j) \\ 0 & (\text{if } i = j) \end{cases} \quad (7)$$

$\alpha$  is a parameter which determines activation to be inserted to the network.

In the network model, we set an injection parameter  $\alpha$  to be 0.15 and initialize  $\mathbf{A}^{(0)}$  with a given value. Then, we apply the formula (6) until convergence, normalizing  $\mathbf{A}^{(k)}$  for each step to satisfy the sum of activations to be 1. In this way, we can acquire a list of important sentences with their activations. The more a sentence is activated highly, the more important the sentence turns out to be.

### Implementation

To ensure effectiveness of our method, we made a multi-document summarization system (Figure 2) for Japanese

<sup>3</sup>“98011168:0-0” stands for the first sentence in the first paragraph of article #168 in a paper written on January 11th, 1998

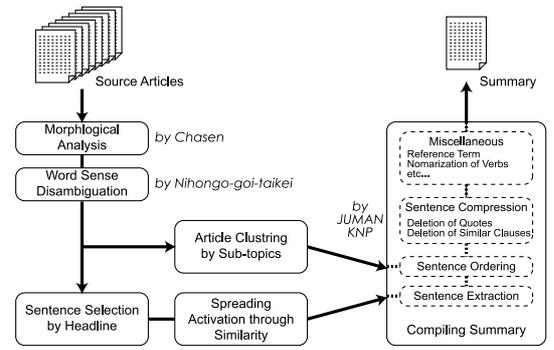


Figure 2: Summarization system overview.

Due to labor-management difficulties involved in revision of pilots’ wage plan of All Nippon Airways Co., Ltd., the crew union went on strike indefinitely on some of international airlines at 0 a.m. of the 6th. **Due to labor-management difficulties involved in revision of pilots’ wage plan of All Nippon Airways Co., Ltd.,** the crew union, on the 6th, decided to keep on strike on some of international airlines of the 7th.

Figure 3: A typical example of duplication (rough English translation). The boldface clause is a repeated expression.

newspaper articles, participating in a competition of summarization, TSC (Text Summarization Challenge) task<sup>4</sup> organized by NTCIR-3 (NII-NACSIS Test Collection for IR Systems) project<sup>5</sup>. We participated in multi document summarization task. A summary made by gathering summaries of each document has an adverse consequence that it will contain some redundant expressions or lack some important passage (McKeown *et al.* 1999). To build a multi-document summarization system, we introduce some other components.

**Sentence selection by headline** We extract all sentences which have one or more terms with relation to a term occurring in the headline of each article. It is equivalent to a process of passing over those which are irrelevant to the thrust. Spreading activation algorithm is applied to the candidate sentences by this phase.

**Eliminating similar clauses** We can acquire a set of key sentences by extracting highly activated sentences up to specified summarization length. Although this can be a good summary which centers on several key points, this may also lead to extract a set of sentences which may contain many redundancies. Related newspaper articles often contains a pair of sentences like those in Figure 3, which has a lot in common but describes slightly separate subjects. In order to eliminate such repeated expressions, breaking up each sentence into several textual units (or clause), we delete units

<sup>4</sup><http://ir-www.pi.titech.ac.jp/tsc/index-en.html>

<sup>5</sup><http://research.nii.ac.jp/ntcadm/index-en.html>

On the 6th at a press conference held in Hiroshima, prime minister Keizo Obuchi, concerning financial reconstruction total plan related six bills for handling the bad debts of financial institution, **said “It does not benefit the nation that no legislation is enacted before the resolution of an issue in which ruling and opposition parties are absorbed. I hope the legislation will be enacted in the Diet session with their consent.”** and revealed his idea that he had a flexible attitude over changes in the legislation with the opposition in order to pass the bills early.

Figure 4: An example of quote deletion (rough English translation). The boldface segment to be deleted.

to be considered as redundant. We use KNP<sup>6</sup> for identifying clause-like units in a sentence and delete units which are similar to previously-included content.

**Deletion of quotes** When a newswriter quotes someone in an article, he or she will append a summary after someone’s long statement in a sentence like Figure 4. We recognize a quotational clause which begins at the open quote and which ends at the closing quote or its successive adverb phrase to compress such sentences by blacking out the section concerning the quotational clause.

**Sentence ordering by clustering articles** We can find some sub-topics in documents collected for some topic. In such case, we should order extracted sentences along the sub-topics to improve overall quality of summary (Barzilay, Elhadad, & McKeown 2002).

We can assume a newspaper article to be written for one topic. Hence, to classify sub-topics in a summary, we classify articles by their topics. We apply the nearest neighbor method (Cover & Hart 1967) for clustering after measuring cosine distance between two article vectors whose element is term frequency. We merge a pair of clusters when their minimum distance is lower than 0.4. After classifying the articles by their sub topics, we order the extracted sentences so as not to lose the thread of the argument.

## Evaluation

After the participants in TSC2 send their summaries to TSC, TSC evaluates the summaries in a common way and returns evaluation results. TSC2 evaluation of summaries is done by two intrinsic methods, using summaries prepared by humans as a reference data for evaluation. In the formal run, 30 topics (sets of articles) were assigned for summarization with two specified lengths (long and short)<sup>7</sup>.

### Evaluation by ranking

The first evaluation is done by ranking participating systems (summaries). They ask human judges, who are experienced

<sup>6</sup>Japanese syntactic parser by Language Media Laboratory, Graduate School of Informatics, the University of Kyoto.

<sup>7</sup>Short summary is just half length of long summary.

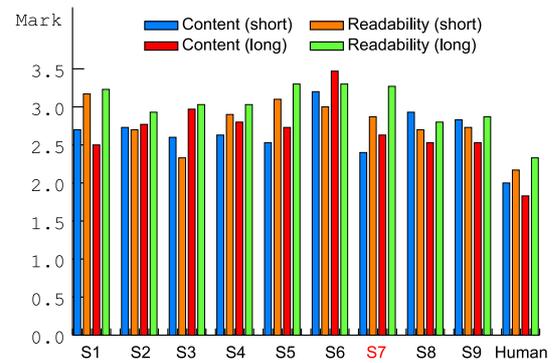


Figure 5: Subjective evaluation by ranking. Sx stands for “System #x” and ours is S7. Lower mark is better.

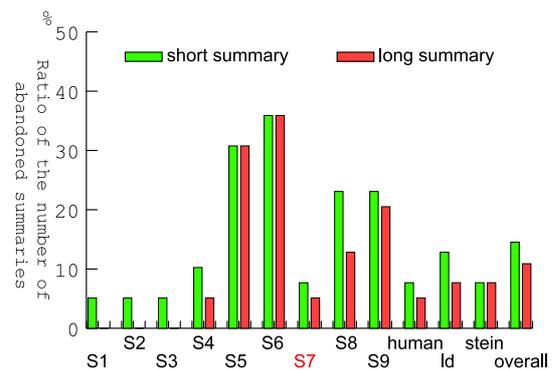


Figure 6: The number of abandoned summaries to revise. Sx stands for “System #x” and ours is S7.

in producing summaries, to evaluate and rank system summaries in 1 to 4 scale (1 is the best, and 4 is the worst) in terms of *content*, and *readability*.

Figure 5 shows the evaluation result of summaries made by participating systems (S1–S9) and a human. Our system is shown as S7. The ranking of human implies the upper bounds of the evaluation. It is shown that our summary got favorable impression from readers. Our system contended for the first place especially in terms of content of the shorter summary.

### Evaluation by revision

The second evaluation is done by measuring revision degree to summaries. Correctors read the original texts and revise system summaries in terms of content and readability. The revision are restricted to three editing operations, insertion, deletion, and replacement. The correctors can give up revising a summary in case it is far from an acceptable one.

The number of abandoned summaries can be seen from Figure 6. The ratio of rejection is about 7, 8%, just the same as that of humans. It turns out that our summary is acceptable for readers.

We evaluate our method by precision-recall-like metrics from the evaluation by human’s revision as well. Figure

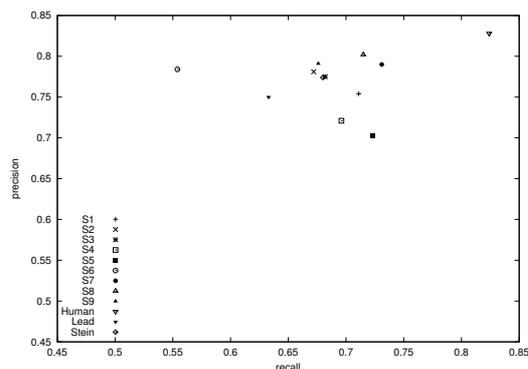


Figure 7: Precision-recall-like evaluation (short summaries).

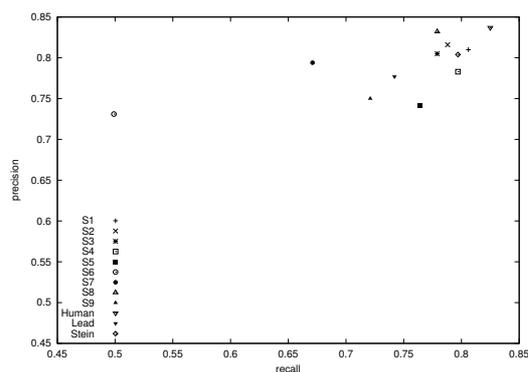


Figure 8: Precision-recall-like evaluation (long summaries).

7 and Figure 8 are precision-recall-like evaluations of each summarization length. Precision and recall in this evaluation are defined as follows <sup>8</sup>:

$$\text{precision} = 1.0 - (\text{sum of deletion ratio}) \quad (8)$$

$$\text{recall} = 1.0 - (\text{sum of insertion ratio}) \quad (9)$$

Sum of deletion ratio denotes how many letters are deleted in the process of revision and the sum of insertion does so correspondingly.

From Figure 7, we can see that our system takes one of the leads for short summary. For the long summary (Figure 8), on the other hand, ours seems to perform poorly, especially owing to the recall. This shows it is prone to including similar content and disregarding something unusual. One of the main reasons is precision of activation degrades to no appreciable difference as we pick up more sentences. Limitation of space at shorter summary leads us to disregard this bad habit since summaries with a few centers are enough. Compared to this situation, at longer summary, it is expected that it includes not only a few centers but more key points.

<sup>8</sup>Strictly speaking, they are different from usual usage in that deletion or insertion ratios are not given to abandoned summaries. The more summaries of a system the corrector gives up, the lower the effective precision and recall may be because it has been estimated that deletion and insertion ratio of abandoned summaries has been very high.

## Conclusion

We have introduced a novel summarization method that ranks sentences by spreading activation with refined similarity measure of sentences in order to archive a comprehensive summary. Although a future work still remains to improve the recall for the long summary, it is proven that our method is effective for the short summary. Our method will match well when readers want a short summary in form of a text.

## Acknowledgement

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